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Patient, Partner and Physician

PRINCIPAL INVESTIGATOR: Katrina Armstrong, M.D.

CONTRACTING ORGANIZATION: University of Pennsylvania

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#### Introduction

"Treatment Decisions in Localized Prostate Cancer: Patient, Partner and Physician," is a project that aims to develop and refine an innovative new model of prostate cancer decision making, that will form the foundation of a research and clinical program to understand, support and improve decision making in prostate cancer. We use a cross-sectional survey of patients, their spouses and their physicians to explore the role of the patient, partner and physician in treatment decisions, and to examine the effect of preferences, perceived preferences, and actual preferences on treatment decisions, decision satisfaction and decision process. By characterizing the role of the partner and the presence of significant misperceptions in the triad, and explicating the process of decision making under conditions of routine care, results of this project will serve as a basis for launching a research program in prostate cancer decision making. The guiding assumption of this work is that facilitation of communication and identification and resolution of misperceptions in the patient-partner-physician triad will improve patient satisfaction in decision making concerning localized prostate cancer.

#### **Body**

#### Task 1: Focus group discussions

Task 1 has been completed, as reported in our 2001 Annual Report

#### Task 2: Development of Survey Instruments

Task 2 has been completed, as we reported in our 2001 Annual Report. A final patient survey, partner survey, and doctor survey are included in this report (Appendix A, B and C).

#### Task 3: Preparation of Interim Reports and Manuscripts

The annual report is provided here. We are preparing manuscripts and have presented data from the study at the annual meeting of the Society for Medical Decision Making and the University of Pennsylvania Cancer Center Annual Scientific Symposium and Retreat. The abstract is included below.

#### Task 4: Cross-sectional survey

#### Development of data management system

After obtaining training in the use of Microsoft Access, a database was developed for the project. One database organizes eligible subjects' contact information and includes each subjects study ID number. A second database uses the study ID number to organize subject data without other identifiers. A system was developed for recording and checking subject eligibility and participation status and for entering all data.

#### Identification and recruitment of subjects

This task is complete, as we reported in our 2001 Annual Report. The process for identifying and recruiting potential subjects is completed and we are continuing to recruit subjects.

#### Enrolling subjects and telephone interviews

In November 2000, we began identifying consecutive patients and enrolling them in our study. To date, we have identified 159 patients from the Hospital of the University

of Pennsylvania (HUP) and 221 patients from the Philadelphia Veterans' Affairs Medical Center (VA). Mailed surveys, rather than phone interviews are being used to collect data from patients and partners

At HUP, 43 patients have been excluded: 3 because of mental disorders, 33 have declined participation, and 7 could not be contacted by telephone. Of the 116 HUP patients from whom we obtained oral, witnessed consent to participation, 83 (71%) completed study questionnaires. Seventy-one of these 83 patients have spouses, and 65 (91%) of the spouses completed a spouse questionnaire.

At the VA, 80 patients have been excluded: 19 because of mental disorders, 30 have declined participation, and 31 could not be contacted by telephone. Of the 126 VA patients from whom we obtained oral, witnessed consent to participation, 79 (62%) completed study questionnaires. Thirty-four of these 79 patients have spouses, and 27 (79%) of the spouses completed a spouse questionnaire. The 8 physicians at the VA have completed questionnaires about 69 of their patients.

#### Physician completion of questionnaire

After several meetings with participating physicians, a system was developed to facilitate physician completion of the study questionnaire. After discussing the diagnosis and treatment options with each study patient, physicians complete a brief questionnaire about that patient.

#### Data entry and quality control measures

Data are entered into the two databases described above. All entered data is checked against hard copies of patient information and surveys. Any detected errors are corrected. Data are backed up on a zip disk weekly with a new file name. This way, should a database become corrupted, a recent file version is available and can be easily updated with the most recent information. The data are also kept on a password protected computer.

#### Task 5: Interim Data Quality Assessment (descriptive statistics)

Descriptive statistics are generalized on an ongoing basis as data collection continues to ensure data quality. Data quality is also checked when the data are analyzed for conference presentations. See abstracts below for results from these analyses.

### **Key Research Accomplishments**

- Continual accrual of newly diagnosed prostate cancer patients and data collection.
- Preliminary research findings
- Presentation of results at professional meetings
- Submission of manuscript on racial disparities in prostate caner treatment outcomes (Appendix D)

#### **Reportable Outcomes**

- Three abstracts which were presented at the University of Pennsylvania Cancer Center Annual Scientific Symposium and Retreat, March 2002 (Abstracts below) which have been updated with the most recent data analyses
- Talk given at Society for Medical Decision Making, Baltimore MD, October 2002 (abstract below)
- Manuscript submitted (Appendix D)

#### ABSTRACT I:

# TREATMENT DECISION FACTORS IN MEN WITH NEWLY DIAGNOSED PROSTATE CANCER

Andrea D. Gurmankin, Adam Kaufman, Peter A. Ubel, James C. Coyne, S. Bruce Malkowicz, Katrina Armstrong

Prostate cancer treatment decisions can be difficult for patients because of the absence of a dominant treatment option and high-stakes, value-laden trade offs between higher survival rates and treatment side effects. How do patients weigh the many factors involved in prostate cancer treatment decisions and are these factors weighed differentially in those who choose surgery versus a nonsurgical treatment option? We investigated the importance of a series of decision factors in patients with newly diagnosed prostate cancer just after they had made their treatment decision. After obtaining written consent, surveys were mailed to consecutive patients at the Hospital of the University of Pennsylvania and the Philadelphia Veteran's Affairs Medical Center (n=162). Table 1 shows the importance of each decision factor to all subjects, and a comparison of the importance of each decision factor to those who chose surgery versus those who chose a nonsurgical treatment. More than 85% of subjects reported that longevity, feeling certain that the cancer is completely gone, the "track record" of the treatment and their spouse's and urologist's opinion were very or extremely important in their decision. Chi Square tests comparing the importance of decision factors in those who chose surgery versus those who chose nonsurgical treatments revealed that the treatment's "track record" and impotence were rated as very or extremely important significantly more often in men choosing surgery (p<.03 for both comparisons). Keeping one's body intact and the cost of treatment were rated as very or extremely important significantly more often in men choosing a nonsurgical treatment (p<.02 for both comparisons). This study highlights several factors that influence decisions about treatment for localized prostate cancer. In the next year, we will continue to accrue patients and assess the relationship between these factors, treatment decisions, and long-term outcomes, such as decision satisfaction, satisfaction with treatment outcome, and quality of life.

Table 1. Importance of decision factors in all patients, and in patients choosing surgery versus a nonsurgical treatment

| nonsurgical treatment                            | % RESPONDING "VERY OR EXTREMELY IMPORTANT" |                      |  |                      |  |  |
|--|--|----------------------|--|----------------------|--|--|
| Decision Factor                                  | All patients (n=162)                       | Chose surgery (n=73) | Chose<br>nonsurgical<br>treatment (n=87) | χ² test<br>(p value) |  |  |
| Longevity  | 91   | 93                   | 89                                       | >.05                 |  |  |
| Feeling certain that cancer is completely gone   | 92   | 99                   | 86                                       | .004                 |  |  |
| "Track record" of treatment                      | 89   | 93                   | 85                                       | .005                 |  |  |
| Spouse's opinion                                 | 85   | 90                   | 79                                       | >.05                 |  |  |
| Urologist's opinion                              | 86   | 88                   | 84                                       | >.05                 |  |  |
| Incontinence                                     | 83   | 85                   | 81                                       | >.05                 |  |  |
| How quickly the treatment works                  | 66   | 68                   | 64                                       | >.05                 |  |  |
| Impotence  | 62   | 72                   | 53                                       | .013                 |  |  |
| Primary care doctor's opinion                    | 65   | 60                   | 69                                       | >.05                 |  |  |
| Keeping my body intact                           | 64   | 53                   | 74                                       | .007                 |  |  |
| Recovery time from treatment                     | 56   | 51                   | 59                                       | >.05                 |  |  |
| Avoiding losing identity as a man                | 58   | 51                   | 65                                       | >.05                 |  |  |
| Discomfort of the treatment                      | 41   | 35                   | 45                                       | >.05                 |  |  |
| Time it takes to get treatment                   | 45   | 48                   | 43                                       | >.05                 |  |  |
| Family member's opinion                          | 43   | 33                   | 52                                       | .038                 |  |  |
| Opinion of friend who has or had prostate cancer | 40   | 31                   | 49                                       | .031                 |  |  |
| Risks of anesthesia                              | 33   | 29                   | 36                                       | >.05                 |  |  |
| Cost of treatment                                | 19   | 8                    | 28                                       | .003                 |  |  |

#### ABSTRACT II:

# INFORMATION-GATHERING PROCESS AND KNOWLEDGE OF TREATMENT OPTIONS IN MEN MAKING PROSTATE CANCER TREATMENT DECISIONS

Andrea D. Gurmankin, Adam Kaufman, Peter A. Ubel, James C. Coyne, S. Bruce Malkowicz, Katrina Armstrong

Prostate cancer treatment decisions can be difficult for patients because of the absence of a dominant treatment option and the high-stakes, value-laden trade offs between higher survival rates and treatment side effects. What is the process that patients go through to gather information about their treatment options in order to make this difficult decision and how well informed are patients at the end of this process? We investigated the information-gathering process and resulting knowledge of treatment options in patients with newly diagnosed prostate cancer. After obtaining written consent, surveys were mailed to consecutive patients at the Hospital of the University of Pennsylvania and the Philadelphia Veteran's Affairs Medical Center (n=162) after a treatment decision had been made. Nearly all patients report getting information about treatment options from their urologist (93%), although 36% report wishing they had received more information from the urologist. The timing of this discussion varied, occurring before biopsy results were available for 31%, during the same conversation when biopsy results were told for 22% and in a conversation after biopsy results were told for 47%. Twenty-one percent of patients reported getting a second opinion from another urologist and 72% report consulting with a radiation oncologist. Many patients also report getting information from their primary care doctor (52%), a friend/acquaintance who is a doctor (19%), prostate cancer survivors (52%), the internet (34%) and books/medical journals (58%). However, as shown in Figure 1, at the end of this information-gathering process, after patients had made their treatment decision, some patients report not having heard of some of the common treatment options. Over the next year, we will continue to accrue patients and explore potential causes of knowledge gaps, including patient ineligibility for a given treatment, patient sociodemographic characteristics, and provider characteristics.

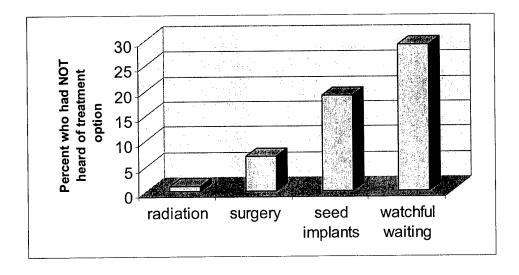


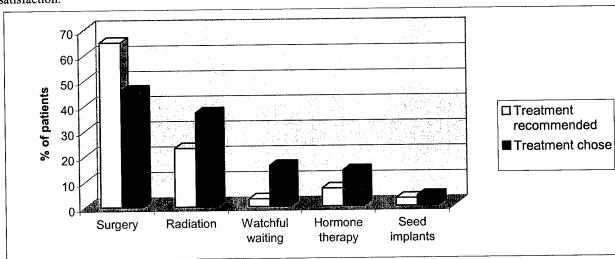
Figure 1. Patient knowledge of treatment options

#### ABSTRACT III:

# THE ROLE OF UROLOGISTS' RECOMMENDATIONS IN TREATMENT DECISIONS OF MEN WITH NEWLY DIAGNOSED PROSTATE CANCER

Andrea D. Gurmankin, Adam Kaufman, Peter A. Ubel, James C. Coyne, S. Bruce Malkowicz, Katrina Armstrong

Prostate cancer treatment decisions can be difficult for patients because of the absence of a dominant treatment option and high-stakes, value-laden trade offs between higher survival rates and treatment side effects. Physician recommendations can have a complex role in these medical decisions. On one hand, the difficulty of the decision may make patients more reliant on their physician's recommendation. On the other hand, the value-laden nature of the decision may lead patients to disregard the recommendation and to make their own treatment decision. In this study, we explored the role of the urologist's recommendation in the treatment decisions of men with newly diagnosed prostate cancer. After obtaining written consent, surveys were mailed to consecutive patients at the Hospital of the University of Pennsylvania and the Philadelphia Veteran's Affairs Medical Center (VA) (n=162) after a treatment decision had been made. The 8 urologists of the VA patients also completed a survey about each of their newly diagnosed prostate cancer patients (n=8, who completed surveys for 113 patients). Eighty-five percent of patients report wanting to hear their urologist's recommendation, and 73% report receiving a recommendation, 52% of which were perceived to be very or extremely strong. The figure shows the percent of patients who report receiving a recommendation for each treatment option and the percent who chose each treatment option. Sixty-five percent of patients received a recommendation for surgery, 23% for radiation, 3% for watchful waiting, 7% for hormone therapy and 3% for seed implants. More patients than physicians believed that the patient had made the final treatment decision (72% versus 41% respectively). Although most patients report having made the final treatment decision, our data suggest that the physicians' recommendations nevertheless played a significant role in patients' treatment decisions. Over the next year, we will continue accruing patients (and at the VA, their respective physicians) and exploring the relationships between the role of the physician recommendation and patient characteristics and outcomes, such as decision satisfaction.



Abstract for talk at Society for Medical Decision Making (October, 2002): The clinical reality of prostate cancer treatment decisions: is shared decision making really necessary?

Purpose: To examine urologists' role in prostate cancer patients' treatment decisions and urologists' accuracy at judging their patients' preferences and concerns. Methods: Surveys were completed by consecutive newly diagnosed prostate cancer patients (n=162) at the Hospital of the University of Pennsylvania and the Philadelphia Veteran's Affairs Medical Center (VA) after a treatment decision had been made (55 days after diagnosis, on average). VA urologists (n=8) completed a survey for each of their newly diagnosed prostate cancer patients (n=74) immediately following their appointment.

| Patients who report that they  | Surgery | XRT | Watchful<br>Waiting | Seed implants | Hormone<br>Tx |
|--------------------------------|---------|-----|---------------------|---------------|---------------|
| had not heard of tx option     | 8%      | 1%  | 28%                 | 30%           | 23%           |
| were recommended the tx option | 64%     | 29% | 3%                  | 2%            | 3%            |
| chose the tx option            | 46%     | 39% | 12%                 | 11%           | 7%            |

Results: The table above reports treatment awareness, recommendation and choice. 44% of patients wanted more information than they received and 75% wanted their urologist's recommendation. Patients who were recommended either surgery or XRT were more likely to choose that treatment than those who did not receive that recommendation (85% vs 9%, p<.001 surgery; 96% vs 20%, p<.001 XRT). Urologists underestimated their patients' desire for medical information (p=.001) and concern about impotence (p=.03), incontinence (p=.002) and getting the best chance of cure (p=.002). Although urologists rated the their role in the decision as greater than patients rated their urologist on this variable, this difference did not reach statistical significance (p=.069). Urologists and patients did not differ in their rating of the patients' role preference in their decision or the strength of the urologist's recommendation (p=.81 and p=.53 respectively).

Conclusion: Although urologists' recommendations appear to strongly affect treatment choice, many urologists inaccurately estimate their patients' preferences and concerns. Many patients want more information than they receive and most want their urologist's recommendation. These limitations of current clinical practice emphasize the importance of shared decision making in prostate cancer treatment

#### Conclusions

The past year has been productive and informative for this study. We have continued to enroll subjects into our study and have achieved an overall response rate of 64% across both hospitals. We have continued to conduct preliminary analyses of the data and have presented the data at relevant conferences, as described above.

Appendix A: Patient survey

## MEN'S HEALTH CARE DECISION MAKING STUDY

# FOR YOU TO COMPLETE

Thank you very much for your help.

Please return in the enclosed envelope.

|         | Instructions  |  |  |  |  |
|---------|---|--|--|--|--|
|         | uestionnaire asks about your experiences with the diagnosis of prostate cancer and ng on a treatment. Your answers will be kept strictly confidential.  |  |  |  |  |
|         | fill out this questionnaire without talking to your partner. We are interested in thoughts, even on the questions that ask about your partner.  |  |  |  |  |
| -       | have any questions, please feel free to contact Andrea Gurmankin at 215 573-9722.  you in advance for your participation.   |  |  |  |  |
| Date ye | ou are filling out this questionnaire:/   |  |  |  |  |
| Who is  | s your urologist?(Your doctor will never see your responses)  |  |  |  |  |
| Part A  | The first set of questions asks about your treatment decision.  |  |  |  |  |
| 1)      | Which of the following possible treatments for prostate cancer have you <b>heard</b> of?  (check all that you have heard of)  Surgery (Radical prostatectomy- procedure where they remove the prostate)  Radiation therapy  Seed implants (Brachytherapy)  Hormone therapy  Watchful waiting (No treatment, but getting regular blood tests to check on the status of the cancer) |  |  |  |  |
| 2)      | Which treatment have you chosen? (check all that apply)  □ Surgery □ Radiation therapy □ Watchful waiting □ Seed implants □ Hormone therapy □ Other (Please explain):   |  |  |  |  |
| 3)      | Approximately when did you make your final decision about which treatment you would get for your prostate cancer? This includes deciding on watchful waiting. ( <i>Please just give your best estimate</i> ). /   |  |  |  |  |
| 4)      | Approximately when did you begin treatment or when do you expect to begin treatment for prostate cancer?  |  |  |  |  |

| 5) Please tell us how important each of the following factors were in your prostate cancer treatment decision, and then circle the one that was the most important factor in your decision. |                     |                   |                       |                       |                      |  |
|---|---------------------|-------------------|-----------------------|-----------------------|----------------------|--|
|   | Extremely important | Very<br>important | Somewhat<br>important | Slightly<br>important | Not at all important |  |
| a) possibility of impotence (inability to get or maintain an erection)  |                     |                   |                       |                       |                      |  |
| b) possibility of incontinence (trouble controlling your urine)   |                     |                   |                       |                       |                      |  |
| c) risks of anesthesia (the medicine given<br>to patients to put them to sleep during<br>surgery)   |                     |                   |                       |                       |                      |  |
| d) feeling certain that the cancer is completely gone   |                     |                   |                       |                       |                      |  |
| e) keeping my body intact   |                     |                   |                       |                       |                      |  |
| f) avoiding losing my identity as a man   |                     |                   |                       |                       |                      |  |
| g) desire to live as long as possible   |                     |                   |                       |                       |                      |  |
| h) cost of the treatment  |                     |                   |                       |                       |                      |  |
| i) discomfort of the treatment  |                     |                   |                       |                       |                      |  |
| j) time it takes to get the treatment   |                     |                   |                       |                       |                      |  |
| k) how long it takes to recover from the treatment  |                     |                   |                       |                       |                      |  |
| 1) "track record" of the treatment  |                     |                   |                       |                       |                      |  |
| m) how quickly the treatment works  |                     |                   |                       |                       |                      |  |
| n) my urologist's opinion   |                     |                   |                       |                       |                      |  |
| o) my primary care doctor's opinion   |                     |                   |                       |                       |                      |  |
| p) my partner's opinion (leave blank if you don't have a partner)   |                     |                   |                       |                       |                      |  |
| q) another family member's opinion (how are you related to this person?):   |                     |                   |                       |                       |                      |  |
| r) opinion of a friend or acquaintance who has or has had prostate cancer   |                     |                   |                       |                       |                      |  |
| s) information from other sources (what sources?):  |                     |                   |                       |                       |                      |  |
| t) other (please explain):  |                     |                   |                       |                       |                      |  |

Now please circle the letter beside the <u>one</u> factor listed **above in question 5** that was the **most important factor** in your treatment decision.

| 7)     | What have you done to get information about your treatment options? ( <i>check all that apply</i> )  |  |  |  |  |  |  |
|--------|--|--|--|--|--|--|--|
|        | ☐ Speak to my doctor   |  |  |  |  |  |  |
|        | Which doctor(s)? ☐ Urologist ☐ Primary care doctor ☐ Other ☐ Speak to friend/acquaintance who is a doctor  |  |  |  |  |  |  |
|        | ☐ Speak to prostate cancer survivors   |  |  |  |  |  |  |
|        | Get information on the internet  |  |  |  |  |  |  |
|        | <ul><li>☐ Get information in books/medical journals</li><li>☐ Other (Please explain below where else you got information):</li></ul>   |  |  |  |  |  |  |
|        |  |  |  |  |  |  |  |
| 8)     | Which statement best describes how much medical information you want?  |  |  |  |  |  |  |
|        | <ul> <li>☐ I want <i>only</i> the information needed to care for myself properly</li> <li>☐ I want additional information only if it is <i>good</i> news</li> </ul>  |  |  |  |  |  |  |
|        | ☐ I want as <i>much</i> information as possible, good and bad  |  |  |  |  |  |  |
|        |  |  |  |  |  |  |  |
| 9)     | Which statement best describes the role you want to play in your medical decisions?  ☐ I prefer to leave the decision to my doctor   |  |  |  |  |  |  |
|        | ☐ I prefer that my doctor make the final decision after seriously considering my opinion   |  |  |  |  |  |  |
|        | ☐ I prefer that my doctor and I share responsibility for making the decision ☐ I prefer to make the final decision after seriously considering my doctor's opinion   |  |  |  |  |  |  |
|        | ☐ I prefer to make the final decision on the basis of the facts I learn from my doctor and   |  |  |  |  |  |  |
|        | elsewhere, without considering my doctor's opinion   |  |  |  |  |  |  |
| Part l | B. The next questions ask about when you were first diagnosed with prostate cancer.  |  |  |  |  |  |  |
|        |  |  |  |  |  |  |  |
| 1)     | Which doctor did you <b>first</b> speak to about whether you should get a biopsy to look for prostate cancer?  |  |  |  |  |  |  |
|        | ☐ My primary care doctor ☐ A friend/acquaintance who is a doctor   |  |  |  |  |  |  |
|        | ☐ A urologist ☐ Other (Please explain):  |  |  |  |  |  |  |
|        |  |  |  |  |  |  |  |
| 2)     | When your doctor mentioned getting a prostate biopsy, did he/she ask your opinion  |  |  |  |  |  |  |
|        | about the idea?  ☐ Yes ☐ No  |  |  |  |  |  |  |
|        |  |  |  |  |  |  |  |
| 3)     | Which doctor did you <b>first</b> speak to about your <u>treatment options</u> for prostate cancer?  |  |  |  |  |  |  |
|        | <ul><li>☐ My primary care doctor</li><li>☐ A friend/acquaintance who is a doctor</li><li>☐ Other (please explain):</li></ul>   |  |  |  |  |  |  |
|        | _ carried the control of the control |  |  |  |  |  |  |
| 4)     | When did this conversation occur?  |  |  |  |  |  |  |
| •,     | ☐ during an appointment or conversation before I got my biopsy results   |  |  |  |  |  |  |
|        | during the same appointment or conversation in which I got my biopsy   |  |  |  |  |  |  |
|        | results ☐ during an appointment or conversation at some point after I got my biopsy  |  |  |  |  |  |  |
|        | results  |  |  |  |  |  |  |
|        | ☐ Other (please explain):  |  |  |  |  |  |  |
| 5)     | Was your partner present during this conversation?   |  |  |  |  |  |  |
| ,      | ☐ Yes ☐ No ☐ I have no partner   |  |  |  |  |  |  |

| <i>If the</i> 6) |   | talked to a doctor about s the main conversation   | -                             |  | -               |
|------------------|---|--|-------------------------------|--|-----------------|
|                  | ☐ durin☐ durin☐ durin☐ durin☐ resu☐ Not a                         | ng an appointment or coning the same appointment or coning an appointment or coning an appointment or coning applicable or (please explain): | t or conversation             | in which I got my b  | iopsy results   |
|                  | b) Was your<br>urologis   | partner present during t   | this conversation             | about treatment opti   | ons with the    |
|                  | •   | ☐ Yes ☐ No   | o □ I hav                     | e no partner   |                 |
| Part             | about trea  | et of questions asks mo<br>tment options. <i>If you h</i><br>options, please skip to P   | ave not yet spoke             |  |                 |
| 1)               | 4 4   | ely what was the date of otions? (please give your   | •                             | on with your urologis  | •               |
| 2)               |   | much time did you spendease give your best estin   | =                             |  | r treatment     |
| 3)               | (Please circ  | have preferred to spend le a number below)   |                               |  |                 |
|                  | 1<br>Much less  | 2<br>A little<br>less  | 3<br>Neither more<br>nor less | 4<br>A little<br>more  | 5<br>Much more  |
| 4)               | <ul><li>a) ge</li><li>b) ge</li><li>c) ge</li><li>d) ge</li></ul> | ogist mention the option etting surgery? etting radiation therapy? etting seed implants? etting hormone therapy? atchful waiting?            | ☐ Yes<br>☐ Yes<br>☐ Yes       | <ul><li>□ No</li><li>□ No</li><li>□ No</li><li>□ No</li><li>□ No</li></ul> |                 |
| 5)               | Would you l   | have preferred more or l   | ess information fr            | rom the urologist? (I  | Please circle a |
|                  | 1<br>Much less  | 2<br>A little<br>less  | 3<br>Neither more<br>nor less | 4<br>A little<br>more  | 5<br>Much more  |
| 6)               |   | ny questions for the uro<br>did not have any question  |                               | el free to ask your qu<br>□ No →Wh   |                 |

| /)  | (for example, "a 2% chance"  ☐ No numbers/only words  ☐ Only numbers/no words  | ') or <b>words</b> (for example) or words (for example).                                     |  | ry small<br>ne words                             | chance")?  |                          |
|-----|--|--|--|--|--|--------------------------|
| 8)  | Would you have preferred the   | nat your doctor use  | words, num   | bers or b  | oth to expla                                       | ain your                 |
|     | chance of cure?  ☐ Words ☐ Nu  | ımbers $\square$   | Both   | □ No p   | oreference   |                          |
| 9)  | Did the urologist recommend  | d a particular treatn  | nent to you?   | [  | □ Yes  | □ No                     |
|     | If yes → a) What was the urologist's p  □ Surgery □ Seed implants  | orimary treatment r  Radiation ther  Hormone ther  | apy  | □ Wate   | chful waitin<br>er (Please ex                      |                          |
|     | b) How strong was the urolo 1 2 Not at all Slightly strong strong  | gist's recommenda<br>3<br>Some<br>stron  | what   | one num<br>4<br>Vei<br>stro                      | ry   | 5<br>Extremely<br>strong |
| 10) | Did you want the urologist to  | provide a recomm   | endation?  | □ Yes  |  | No.                      |
| 11) | Which treatment option did : ☐ Surgery ☐ Seed implants   | you favor BEFORE  Radiation ther  Hormone there  | ару  | □ Wato   | st's recomm<br>chful waitin<br>r (Please ex        | g                        |
| 12) | Did the urologist suggest that   | t you speak to a rac   | liation onco   | logist? [  | □ Yes  | □ No                     |
| 13) | Did you speak with a radiation   | on oncologist?   | Yes  | □ No   |  |                          |
| 14) | Did you get a second opinion   | from another urol  | ogist?   | □ Yes  |  | 10                       |
| 15) | Which of the following best treatment was made?  My urologist mad  My urologist and  My urologist and  I made the final de and elsewhere, wi | e the final decision<br>e the final decision<br>I shared responsibi<br>ecision after serious | after seriou<br>lity for the f<br>sly consider<br>of the facts | sly consi<br>inal decis<br>ing my u<br>I learned | dering my o<br>sion<br>rologist's o<br>d from my u | opinion                  |

#### Part D. The next questions ask your thoughts about different treatments and side effects. Not at all Extremely Verv Somewhat Slightly 1) How concerned are you about: concerned concerned concerned concerned concerned a) experiencing impotence (trouble П П getting or maintaining an erection) b) experiencing incontinence (trouble controlling your urine) c) experiencing risks of anesthesia (the medicine given to patients to put them to sleep during surgery) d) getting the best chance of cure 2) Please rate what you think your chance of impotence would be if you were to get each of the following treatments. For each one, assume you are ONLY getting that one treatment. Not at all Slightly Somewhat Extremely Very likely likely likely likely likely a) Radical prostatectomy (surgery) b) Radiation therapy c) Hormone therapy П d) Seed implants e) Watchful waiting (no treatment) $\Box$ Please rate what you think your chance of incontinence would be if you were to get each of the following treatments. For each one, assume you are ONLY getting that one treatment. Somewhat Extremely Not at all Slightly Very likely likely likely likely likely a) Radical prostatectomy (surgery) b) Radiation therapy c) Hormone therapy $\Box$ d) Seed implants $\Box$ $\Box$ e) Watchful waiting (no treatment) Please rate what you think your chance of cure would be if you were to get each of the following treatments. For each one, assume you are ONLY getting that one treatment. Slightly Somewhat Extremely Not at all Very likely likely likely likely likely a) Radical prostatectomy (surgery) b) Radiation therapy c) Hormone therapy d) Seed implants

П

e) Watchful waiting (no treatment)

Part E. Next we ask about what you think YOUR PARTNER thinks about different treatment options. We also ask about the discussions you two had about your options. If you do not have a partner, skip to Part F. Please remember to respond without input from your partner.

|   | v concerned <u>do you think</u><br>artner is about you:   | Extremely concerned                       | Very<br>concerned             | Somewhat concerned | Slightly<br>concerned | Not at all concerned |
|---|---|---|-------------------------------|--------------------|-----------------------|----------------------|
| (trouble  | riencing incontinence<br>e controlling your urine)?   |   |                               |                    |                       |                      |
| getting   | riencing impotence (trouble or maintaining an erection)?  |   |                               |                    |                       |                      |
| anesthe give to   | riencing the risks of sia (the medicine that they patients to put them to sleep the surgery)?                                     |   |                               |                    |                       |                      |
| d) getti:   | ng the best chance of cure  |   |                               |                    |                       |                      |
| Which treatment do <b>you think your partner</b> thinks has the best chance of cure for you?  Surgery Radiation therapy Hormone therapy  Watchful waiting Seed implants  I don't know what my partner thinks  They all have the same chance of cure     |   |   |                               |                    |                       |                      |
| If it had been up to <b>your partner</b> , which treatment do you think she would have choser for you?  Surgery Radiation therapy Hormone therapy Watchful waiting Seed implants I don't know what my partner would have chosen Other (please explain): |   |   |                               |                    |                       |                      |
| 4)  | How does <b>your partner</b> feel at    Insisted that I get it   Supported the decisi   I don't know how m   Other (please explai | ☐ O <sub>I</sub> ion ☐ Instay partner fee | pposed the d<br>sisted that I | ecision            |                       |                      |

| 5)           | How often did you and your partner discuss your treatment options? (please circle a number below) |  |  |                         |                |  |  |
|--------------|---|--|--|-------------------------|----------------|--|--|
|              | 1   | 2  | 3  | 4                       | 5              |  |  |
|              | Never   | Seldom                                     | Now and then   | Quite often             | Very often     |  |  |
| 6)           |   | ave preferred to dis<br>circle a number be | cuss your treatment option low)                          | ns with your partne     | er more or     |  |  |
|              | 1   | 2  | 3  | 4                       | 5              |  |  |
|              | Much less   | A little                                   | Neither more   | A little                | Much           |  |  |
|              |   | less                                       | nor less   | more                    | more           |  |  |
| If you<br>7. | u responded "ne   | ever" to question 5                        | , skip to question 10. Oth                               | ierwise, continue t     | o question     |  |  |
| 7)           | When you had □ Me   |  | about treatment options, er □ We both initiate           |                         |                |  |  |
| 8)           | How satisfied   | were you with the                          | ose discussions? (please ci                              | rcle a number belo<br>4 | рw)<br>5       |  |  |
|              | Very<br>dissatisfied  | Somewhat dissatisfied                      | Neither satisfied nor dissatisfied                       | Somewhat satisfied      | Very satisfied |  |  |
| 9)           |   | -  | tner get into a disagreemen<br>ase circle a number below |                         | the issue of   |  |  |
|              | 1   | 2  | 3  | 4                       | 5              |  |  |
|              | Never   | Seldom                                     | Now and then   | Quite often             | Very often     |  |  |
| 10)          |   | did you want your                          | partner's opinion to be fa                               | ctored into your tre    | eatment        |  |  |
|              | 1   | 2  | 3  | 4                       | 5              |  |  |
|              | Not at all  | A little                                   | Somewhat   | Very                    | Extremely      |  |  |

| 11) The following statements focus on the  | ne way you  | r partner de | eals with the f | act that you | have  |
|--|-------------|--------------|-----------------|--------------|-------|
| prostate cancer. Please indicate to what edescribed.                                       | extent your | partner do   | es or does not  | act in the w | ays   |
| described.   |             |              | Now and         | Quite        | Very  |
|  | Never       | Seldom       | then            | often        | often |
| a) My partner tries to discuss it with me openly   |             |              |                 |              |       |
| b) My partner asks me how I feel   |             |              |                 |              |       |
| c) When something bothers me, my partner tries to discuss the problem                      |             |              |                 |              |       |
| d) My partner is full of understanding towards me  |             |              |                 |              |       |
| e) My partner makes me feel that I'm not alone in this                                     |             |              |                 |              |       |
| f) My partner tries to persuade me to follow the doctor's instructions                     |             |              |                 |              |       |
| g) My partner tries to hide his or her worries about me                                    |             |              |                 |              |       |
| h) My partner tries to act as if nothing is the matter                                     |             |              |                 |              |       |
| i) My partner gives in when I make an issue of something                                   |             |              |                 |              |       |
| j) My partner just waves my worries aside  |             |              |                 |              |       |
| k) My partner does everything to prevent me from thinking about my disease                 |             |              |                 |              |       |
| 1) My partner can't endure me being concerned and acts as if she doesn't notice my worries |             |              |                 |              |       |
| m) My partner takes over as much of my work as possible                                    |             |              |                 |              |       |

Part F. This set of questions asks about your feelings of conflict over your prostate cancer treatment decision. The statements below are things that some people say when they have just made a difficult decision. Thinking about decision, please check the box that best matches how much you agree with each statement.

|   | Strongly agree | Agree | Neither<br>agree nor<br>disagree | Disagree | Strongly<br>disagree |
|---|----------------|-------|----------------------------------|----------|----------------------|
| 1) I feel I have made an informed choice  |                |       |                                  |          |                      |
| 2) My decision shows what is most important for me  |                |       |                                  |          |                      |
| 3) I expect to stick to my decision.  |                |       |                                  |          |                      |
| 4) I am satisfied with my decision.   |                |       |                                  |          |                      |
| 5) This decision was hard for me to make  |                |       |                                  |          |                      |
| 6) I was unsure what to do in this decision   |                |       |                                  |          |                      |
| 7) It was clear what choice was best for me   |                |       |                                  |          |                      |
| 8) I am aware of the choices I have to manage my prostate cancer                                      |                |       |                                  |          |                      |
| 9) I feel I know the benefits of the treatments for prostate cancer                                   |                |       |                                  |          |                      |
| 10) I feel I know the risks and side effects of treatment for prostate cancer                         |                |       |                                  |          |                      |
| 11) I need more advice and information about the choices  |                |       |                                  |          |                      |
| 12) I know how important the benefits of the treatment for prostate cancer are to me in this decision |                |       |                                  |          |                      |
| 13) I felt pressure from others in making this decision   |                |       |                                  |          |                      |
| 14) I had the right amount of support from others in making this decision                             |                |       |                                  |          |                      |

| Par | t G. The next questions ask about your backg   | round.   |   |            |
|-----|--|--|---|------------|
| 1)  | What is your age? years  |  |   |            |
| 2)  | What is your race?  ☐ African-American ☐ Cau ☐ Asian-American ☐ Oth  | ucasian<br>ner (please spe   | ☐ Hispani<br>cify)  |            |
| 3)  | What level of education have you completed 9 10 11 12 13 14 15 16 high school college  | 17 18 19 20  | 21+   |            |
| 4)  | Which of the following conditions have you (check all that apply)  high blood pressure diabetes stroke asthma cancer (other than prostate) chronic nervous trouble hernia or rupture drinking problems or alcoholism stomach ulcer or duodenal ulcer     | ☐ heart trou ☐ emotional ☐ chronic be ☐ arthritis o ☐ epilepsy ☐ tuberculos ☐ chronic li | ble<br>or mental ill<br>ronchitis<br>r rheumatism<br>sis<br>ver problem | iness<br>1 |
| 5)  | Are you currently experiencing impotence?  | □ Yes  | □ No  |            |
| 6)  | Are you currently experiencing incontinence  | e? □ Yes   | □ No  |            |
| 7)  | Do you currently have health insurance?  7a) If yes ➤ please check the type of plan that  ☐ Fee-for-service plan where you can ☐ HMO where your primary care doct ☐ PPO where you can go to any doctor referral ☐ I don't know which type of plan I have | best describes<br>go to any doctor<br>for refers you to<br>or or hospital o              | tor or hospita<br>to specialists  | 1          |

Part H. This section asks about your relationship with your partner. If you do not have a partner, skip to Part I. 1) Most people have some disagreements in their relationships. Below is a series of issues. We'd like you to tell us of any disagreement experienced between you and your partner over each of these issues in a typical month (this past month may not have been typical for you, since you were just diagnosed with prostate cancer). So for each issue, please tell us in a typical month, how much you have agreed or disagreed about.... Almost Almost In a typical month, Always Occasionally Frequently Always always always my partner and I have agreed agreed disagreed agreed disagreed disagreed a) religious matters b) demonstration of affection c) sex relations d) conventionality (correct or proper behavior) e) making major decisions f) career decisions More 2) How often do you and your All the Most of often partner do the following Occasionally Rarely Never time the time than not things: a) discuss or consider divorce, separation, or terminating your relationship? b) regret that you married? c) quarrel? d) "get on each other's nerves?" 

|  | Every day | Almost<br>every day | Occasionally | Rarely | Never |
|--|-----------|---------------------|--------------|--------|-------|
| 3) How often do you and your partner engage in outside interests together? |           |                     | 0            |        |       |

| Below are some things the you think they occur bet | •                                   | -          |                            | do. Pleas                   | e tell us ho                  | w often         |                |
|--|-------------------------------------|------------|----------------------------|-----------------------------|-------------------------------|-----------------|----------------|
| you man oney even zer                              | More<br>than once<br>a day          | Once a day | Once or<br>twice a<br>week | Once or<br>twice a<br>month | Less than once a month        | Nevei           | •              |
| 4) Have a stimulating exchange of ideas            |                                     |            |                            |                             |                               |                 |                |
| 5) Calmly discuss something                        |                                     |            |                            |                             |                               |                 |                |
| <ul><li>6) Work together on a project</li></ul>    |                                     |            |                            |                             |                               |                 |                |
| 7) How often does you 1 Never                      | our partner g<br>2<br>Once in a whi | ·          | to your do 3 Sometimes     | octors' app                 | ointments?<br>4<br>Frequently |                 | 5<br>Always    |
| 8) How long have yo                                | ou and your p                       | artner bee | n together?                |                             | _ years                       |                 |                |
| Part I. This last section                          | asks about y                        | our quali  | ty of life.                |                             |                               |                 |                |
| 1) During the past four                            | weeks how                           | All o      | f Most of the              | A good<br>bit of<br>the     | Some of                       | A little of the | None<br>of the |

| 1) During the past four weeks how much of the time                                | All of<br>the<br>time | Most<br>of the<br>time | A good<br>bit of<br>the<br>time | Some of the time | A little of the time | None<br>of the<br>time |
|---|-----------------------|------------------------|---------------------------------|------------------|----------------------|------------------------|
| a) have you been concerned or worried about loss of muscle tone?                  |                       |                        |                                 |                  |                      |                        |
| b) did you have negative feelings about the way your body looks?                  |                       |                        |                                 |                  |                      |                        |
| c) did you avoid being seen without a shirt on?                                   |                       |                        |                                 |                  |                      |                        |
| d) did you feel that your body was getting soft and flabby?                       |                       |                        |                                 |                  |                      |                        |
| e) were you concerned or worried about difficulty getting or keeping an erection? |                       |                        |                                 |                  |                      |                        |
| f) did you wish you could regain your sexual ability?                             |                       |                        |                                 |                  |                      |                        |
| g) did you feel frustrated about your sexual ability?                             |                       |                        |                                 |                  |                      |                        |
| h) did you feel despair over the loss of sexual ability?                          |                       |                        |                                 |                  |                      |                        |

| Skip to question 3 if you do not have a partn  | er              |                |                             |              |                     |
|--|-----------------|----------------|-----------------------------|--------------|---------------------|
| 2) How true or false has each of the following statements been for you during the past four weeks? | Definitely true | Mostly true    | Neither<br>true no<br>false | Mostly false | Definitely false    |
| a) I felt uncomfortable when my partner wanted to hug or kiss me.                                  |                 |                |                             |              |                     |
| b) I felt affectionate about my partner.   |                 |                |                             |              |                     |
| c) I felt that my partner was not satisfied with our sex life.                                     |                 |                |                             |              |                     |
| d) I felt that my partner may want to turn to others for affection.                                |                 |                |                             |              |                     |
| e) My partner was worried about my cancer.   |                 |                |                             |              |                     |
| f) I worked hard to keep my partner from worrying about my health.                                 |                 |                |                             |              |                     |
|  |                 | ~              |                             |              |                     |
| 3) How true or false has each of the following statements been for you during the past four weeks? | Definitely true | Mostly<br>true | Neither<br>true no<br>false | Mostly false | Definitely<br>false |
| a) Since I've had cancer I feel that I have  |                 |                |                             |              |                     |

| 3) How true or false has each of the following statements been for you during the past four weeks? | Definitely<br>true | Mostly<br>true | Neither<br>true no<br>false | Mostly<br>false | Definitely<br>false |
|--|--------------------|----------------|-----------------------------|-----------------|---------------------|
| a) Since I've had cancer I feel that I have lost my ability to be aggressive.                      |                    |                |                             |                 |                     |
| b) I feel that I've lost part of my manhood.   |                    |                |                             |                 |                     |
| c) I feel as if I'm going through a "change of life" like women do.                                |                    |                |                             |                 |                     |
| d) I feel that what I say is not taken very seriously by others.                                   |                    |                |                             |                 |                     |

| 4) During the past four weeks how much of the time  | All of<br>the<br>time | Most of the time | A good<br>bit of the<br>time | Some of the time | A little<br>of the<br>time | None of the time |
|---|-----------------------|------------------|------------------------------|------------------|----------------------------|------------------|
| a) did you feel that your cancer kept you from being the friend you wanted to be?                 |                       |                  |                              |                  |                            |                  |
| b) did you feel that other people don't really understand what it's like to have prostate cancer? |                       |                  |                              |                  |                            |                  |
| c) did you feel that you were a bother to other people?   |                       |                  |                              |                  |                            |                  |
| d) did you worry about eventually becoming unable to take care of yourself?                       |                       |                  |                              |                  |                            |                  |
| e) did you worry about your cancer, but keep it to yourself?                                      |                       |                  |                              |                  |                            |                  |

|  | All of the tim | 9 | Most of the time |   | A good<br>oit of th<br>time |    | Some o                    | A little of the time | None of the time    |
|--|----------------|---|------------------|---|-----------------------------|----|---------------------------|----------------------|---------------------|
| f) did you feel that others who are close to you try to hide their true feelings about your cancer?                |                |   |                  |   |                             |    |                           |                      |                     |
| g) did you feel that others think less of you because of your health problems?                                     |                |   |                  |   |                             |    |                           |                      |                     |
| h) have you felt weak and small?   |                |   |                  |   |                             |    |                           |                      |                     |
| i) have you worried about the cancer spreading?  |                |   |                  |   |                             |    |                           |                      |                     |
| j) have you thought about your cancer?   |                |   |                  |   |                             |    |                           |                      |                     |
| k) have you worried about dying soon?  |                |   |                  |   |                             |    |                           |                      |                     |
| 1) have you been concerned about side-effects of your cancer treatment?  |                |   |                  |   |                             |    |                           |                      |                     |
| m) have you felt that your cancer has given you a better outlook on your life?                                     |                | ] |                  |   |                             |    |                           |                      |                     |
| n) have you felt that coping with your cancer has made you a stronger person?                                      |                | ] |                  |   |                             |    |                           |                      |                     |
| o) have you wished that you could change your mind about the kind of treatment you chose for your prostate cancer? |                | ] |                  |   |                             |    |                           |                      |                     |
|  | ···            |   |                  |   |                             |    |                           |                      |                     |
| 5) How true or false has each of the following statements been for you during the past four weeks?                 |                |   | finitely<br>true | ١ | lostly<br>true              | tr | either<br>ue nor<br>false | Mostly<br>false      | Definitely<br>false |
| a) I feel that I would be better off if I h chosen another treatment for prostate cancer.                          | ad             |   |                  |   |                             |    |                           |                      |                     |
| b) It bothers me that other men with prostate cancer get treatment that is ve different from what I will receive.  | ry             |   |                  |   |                             |    |                           |                      |                     |

Thank you for your participation. Please mail the completed survey in the enclosed, stamped, addressed envelope.

Appendix B: Partner survey

# MEN'S HEALTH CARE DECISION MAKING STUDY

# FOR YOUR PARTNER TO COMPLETE

Thank you very much for your help.

Please return in the enclosed envelope.

|  | Instru  | ections:   |  |   |                     |
|--|---|--|--|---|---------------------|
| This questionnaire asks about yo prostate cancer and deciding on confidential.   |   |  |  |   | gnosis of           |
| Please fill out this questionnaire partner. We are interested in YO partner.   |   |  |  |   |                     |
| If you have any questions, please<br>Thank you in advance for your p   |   |  | rea Gurman                               | kin at 215 57   | 73 9722.            |
| Date you are filling out this question  1) Which of the following post (check all that you have here all that you have here are all that you think that are are all that you think that are are all that you think that you think that you think that you think that you have a second of the following treats. | sible treatme<br>ard of)<br>I prostatector<br>by<br>Brachytherapy<br>y<br>g (No treatme | nts for prosta ny- procedure y) ent, but gettin s chance of ir | te cancer have where they ag regular blo | remove the produced tests to choose uld be if he work | rostate) eck on the |
| treatment.   | Not at all likely   | Slightly<br>likely   | Somewhat<br>likely                       | Very likely   | Extremely likely    |
| a) Radical prostatectomy (surgery)   |   |  |  |   |                     |
| b) Radiation therapy   |   |  |  |   |                     |
| c) Hormone therapy   |   |  |  |   |                     |
| d) Seed implants   |   |  |  |   |                     |
| e) Watchful waiting (no treatment)   |   |  |  |   |                     |

|  | Not at all likely           | Slightly<br>likely |            | Somewhat<br>likely | Very likely                      | Extremely likely             |
|--|-----------------------------|--------------------|------------|--------------------|----------------------------------|------------------------------|
| a) Radical prostatectomy (surgery)   |                             |                    |            |                    |                                  |                              |
| b) Radiation therapy   |                             |                    |            |                    |                                  |                              |
| c) Hormone therapy   |                             |                    |            |                    |                                  |                              |
| d) Seed implants   |                             |                    |            |                    |                                  |                              |
| e) Watchful waiting (no treatment)   |                             |                    |            |                    |                                  |                              |
| 4) Please rate what you think you the following treatments. For a  | each one, ass<br>Not at all | ume he is Slightly | ONL        |                    |                                  |                              |
| a) Radical prostatectomy (surgery)   | likely                      | likely             |            |                    |                                  |                              |
| b) Radiation therapy   |                             |                    |            |                    |                                  |                              |
| o) Rudiumon merapy   |                             |                    |            |                    |                                  |                              |
| c) Hormone therapy   |                             | ļ LI               |            |                    |                                  |                              |
|  |                             |                    |            |                    |                                  |                              |
| d) Seed implants e) Watchful waiting (no treatment)  |                             |                    |            |                    |                                  |                              |
| c) Hormone therapy d) Seed implants e) Watchful waiting (no treatment)  Questions 5-8 ask about YOUR their possible side effects   |                             |                    | nt pro     |                    |                                  |                              |
| d) Seed implants e) Watchful waiting (no treatment)  Questions 5-8 ask about YOUR t their possible side effects  |                             | out differe        | ry         |                    | cer treatmen                     | ts and                       |
| d) Seed implants e) Watchful waiting (no treatment)  Questions 5-8 ask about YOUR their possible side effects  How concerned are you about   | houghts abo                 | out differe        | ry         | ostate can         | cer treatmen                     |                              |
| d) Seed implants e) Watchful waiting (no treatment)  Questions 5-8 ask about YOUR their possible side effects  How concerned are you about your partner: 5) experiencing impotence (trouble getting or maintaining an  | houghts abo                 | out differe        | ry<br>rned | ostate can         | cer treatmen                     | ts and                       |
| d) Seed implants e) Watchful waiting (no treatment)  Questions 5-8 ask about YOUR their possible side effects  How concerned are you about your partner: 5) experiencing impotence (trouble getting or maintaining an erection) 6) experiencing incontinence | Extreme concern             | out differe        | ry rned ]  | Somewhat concerned | cer treatmen  Slightly concerned | ts and  Not at all concerned |

Questions 10-13 ask about YOUR thoughts about what your PARTNER thinks about different treatments and possible side effects.

| How concerned do you think your partner is about:  |   | Extremely   | Very<br>concerned  | Somewhat concerned            | Slightly concerned         | Not at all concerned  |                   |  |  |  |
|--|---|---|--|-------------------------------|----------------------------|-----------------------|-------------------|--|--|--|
|  |   | concerned   |  |                               |                            |                       |                   |  |  |  |
|  | experiencing incontinence lble controlling his urine)?    |   |  |                               |                            |                       |                   |  |  |  |
| 11) experiencing impotence (trouble getting or maintaining an erection)?                               |   |   |  |                               |                            |                       |                   |  |  |  |
| 12) experiencing the risks of anesthesia (the medicine that they give to patients to put them to sleep |   |   |  |                               |                            |                       |                   |  |  |  |
|  | during the surgery)?  13) getting the best chance of cure |   |  |                               |                            |                       |                   |  |  |  |
| 14) Wi   | hich treatment o  Surgery  Seed impla                     |   | r partner thi<br>ation therap<br>none therap   | y 🗆                           | pest chance of Watchful wo | aiting                | im?               |  |  |  |
| The no   |   | s about the disc  | ussions tha  | t you and yo                  | our partner                | had about             | treatment         |  |  |  |
| 15)  |   |   |  |                               |                            |                       |                   |  |  |  |
|  | 1<br>Never  | 2<br>Seldom   | 1  | 3<br>Now and then             | Qι                         | 4<br>uite often       | 5<br>Very often   |  |  |  |
| 16)  | Would you ha  | nve preferred to d  | liscuss his tr   | eatment opti                  | ons more or                | less? (pleas          | e circle a        |  |  |  |
|  | 1<br>Much less  | 2<br>A little<br>less   |  | 3<br>either more<br>nor less  |                            | 4<br>A little<br>more | 5<br>Much<br>more |  |  |  |
| If you   | responded "ne   | ever" to question   | 15, skip to  | question 19.                  | Otherwise,                 | , continue to         | <i># 17</i> .     |  |  |  |
| 17)  | When you had ☐ Me   | When you had these discussions about treatment options, who initiated them?  ☐ Me ☐ My partner ☐ We both initiated some of the discussions. |  |                               |                            |                       |                   |  |  |  |
| 18)  | 1 2   |   | nose discussions? (please circle a number below 3  |                               |                            | 5                     |                   |  |  |  |
|  | Very<br>dissatisfied                                      | Somewhat dissatisfied   |  | ner satisfied<br>lissatisfied |                            | newhat<br>isfied      | Very<br>satisfied |  |  |  |
| 19)  |   |   | partner get into a disagreement or conflict over the issue of (please circle a number below) |                               |                            |                       |                   |  |  |  |
|  | 1<br>Never  | ent to choose? (p 2 Seldom  |  | 3 Now and then                |                            | 4<br>ite often        | 5<br>Very often   |  |  |  |

| The following statements focus on the way you deal with the fact that your partner has prostate cancer. Please indicate to what extent you do or do not act in the ways described. |   |                           |               |              |                |  |  |  |  |  |
|--|---|---------------------------|---------------|--------------|----------------|--|--|--|--|--|
| prostate cancer. Trease indicate to win  |   | 04 40 01 40               | Now and       | Quite        | Very           |  |  |  |  |  |
|  | Never   | Seldom                    | then          | often        | often          |  |  |  |  |  |
| 20) I try to discuss it with my partner openly   |   |                           |               |              |                |  |  |  |  |  |
| 21) I ask my partner how he feels  |   |                           |               |              |                |  |  |  |  |  |
| 22) When something bothers my partner, I try to discuss the problem  |   |                           |               |              |                |  |  |  |  |  |
| 23) I am full of understanding towards my partner  |   |                           |               |              |                |  |  |  |  |  |
| 24) I make my partner feel that he is not alone in this  |   |                           |               |              |                |  |  |  |  |  |
| 25) I try to persuade my partner to follow the doctor's instructions   |   |                           |               |              |                |  |  |  |  |  |
| 26) I try to hide my worries about my partner  |   |                           |               |              |                |  |  |  |  |  |
| 27) I try to act as if nothing is the matter   |   |                           |               |              |                |  |  |  |  |  |
| 28) I give in when my partner makes an issue of something  |   |                           |               |              |                |  |  |  |  |  |
| 29) I just wave my partner's worries aside   |   |                           |               |              |                |  |  |  |  |  |
| 30) I do everything to prevent my partner from thinking about his disease  |   |                           |               |              |                |  |  |  |  |  |
| 31) I can't endure my partner being concerned and act as if I do not notice my partner's worries.  |   |                           |               |              |                |  |  |  |  |  |
| 32) I take over as much of my partner's work as possible.  |   |                           |               |              |                |  |  |  |  |  |
| 33) How strongly did you want your decision? (Circle a number belo   |   | be factored               | into your pa  | rtner's trea | tment          |  |  |  |  |  |
| 1 2 Not at all A little  | 3<br>Somewhat   |                           | 4<br>Very     |              | 5<br>Extremely |  |  |  |  |  |
| 34) How satisfied are you with the in  | How satisfied are you with the information you have about your partner's prostate cancer diagnosis and treatment options? (Circle a number below) |                           |               |              |                |  |  |  |  |  |
| 1 2  |   | 3                         |               | 4            | 5              |  |  |  |  |  |
| Very Somewhat dissatisfied   |   | r satisfied<br>ssatisfied | Some<br>satis | what<br>fied | Very satisfied |  |  |  |  |  |

| This l | ast section asks a few o                       | uestions abo | ut you.                         |                      |  |
|--------|--|--------------|---------------------------------|----------------------|--|
| 35)    | What is your age?                              | years        |                                 |                      |  |
| 36)    | What is your race?  ☐ African-An ☐ Asian-Ame   |              | ☐ Caucasian ☐ Other (please spe | ☐ Hispanic<br>ecify) |  |
| 37)    | What level of education 9 10 11 12 high school | •            | 17 18 19 2                      | 0 21+                |  |

Thank you for your participation. Please mail the completed survey in the enclosed, stamped, addressed envelope.

Appendix C: Physicians Survey

| Date/   | Patient name  |  |                                   |  |  |  |
|---|---|--|-----------------------------------|--|--|--|
| Please fill out after telling patient   | t of his positive prostate biop   | sy and his treatn  | nent options.                     |  |  |  |
| 1) Which of the following treatment getting surgery   | es   No getting horm  | one therapy [  | □ Yes □ No<br>□ Yes □ No          |  |  |  |
| 2) Did you recommend a particular   | treatment to the patient?   | Yes  | f no, skip to #5)                 |  |  |  |
| 3) What was your <b>primary</b> recomm ☐ Radical prostatectomy ☐ Brachytherapy                                  | ☐ Radiation therapy   | ☐ Hormone then☐ Other (Please  |                                   |  |  |  |
| 4) How strong was your recommendable. ☐ Not at all ☐ Slightly strong strong                                     | dation for this treatment optio  Somewhat strong  | n? ( <i>check one opt</i><br>Very<br>strong  | ion)  ☐ Extremely strong          |  |  |  |
| 5) Do you think the patient would by 1 2 Much less A little less  | nave preferred more or less inf<br>3<br>Neither more<br>nor less  | Formation from yo<br>4<br>A little<br>more   | u? (choose one)<br>5<br>Much more |  |  |  |
| 6) Do you think the patient asked a   | Il of the questions that he had?  | ?  | □ No                              |  |  |  |
| ☐ He wants additional info  | mation needed to care for hims ormation only if it is <i>good</i> new mation as possible, good and be the role this patient wants to phis doctor e final decision after seriously conhare responsibility for making the on after seriously considering the on on the basis of the facts he lear | self properly. ss. ead. lay in his medical nsidering his opinic e decision e doctor's opinion. | decisions?<br>n                   |  |  |  |
| 9) Did you suggest that the patient   | speak to a radiation oncologis  | r  | □ No                              |  |  |  |
| 1 A 4 - 1 A   | 4 DTM 150 47 15 054   |  | e to next page >                  |  |  |  |
| WIN a Every time you fill out a quest gift certificate to Le Bec Fin. into the drawing. The next draw by email. | Write your name below, o  | ı in a raffle to v<br>and we will ente   | r this slip                       |  |  |  |
| Name:   | email (only necessary to give u   | us once):  |                                   |  |  |  |

| 10) Compared to other newly diagnosed prostate cancer patients, how much did this patient ask questions or elicit information about his diagnosis, treatment options and/or prognosis?  |           |                         |          |                           |            |                        |
|---|-----------|-------------------------|----------|---------------------------|------------|------------------------|
| 1 2   |           | 3                       |          | 4                         |            | 5                      |
| Much less A little less than others than others   |           | either more<br>nor less |          | little more<br>han others |            | Iuch more<br>an others |
| 11) How concerned do you think this patient is about:   | Extremely | Very                    | Somewhat | Slightly                  | Not at all | I don't<br>know        |
| a) experiencing impotence   |           |                         |          |                           |            |                        |
| b) experiencing incontinence  |           |                         |          |                           |            |                        |
| c) experiencing risks of anesthesia   |           |                         |          |                           |            |                        |
| d) getting the best chance of cure  |           |                         |          |                           |            |                        |
| 12) Did you give the patient <b>information about his chance of cure</b> using <b>numbers</b> (for example, "a 2% chance") or <b>words</b> (for example, "a very small chance")?  No numbers/only words  No information given about chance of cure  13) Using the response options below, please rate what you think this patient's chance of cure would be if he were to get <b>each</b> of the following treatments? For each treatment, assume he is ONLY getting that one treatment. (Enter 1 for not at all likely, 2 for slightly likely, 3 for somewhat likely, 4 for very likely and 5 for extremely likely).  Radical prostatectomy  Radiation therapy  Brachytherapy  Watchful waiting  14) Which best describes how the patient's treatment decision was made? |           |                         |          |                           |            |                        |
| <ul> <li>☐ I made the final decision</li> <li>☐ I made the final decision after seriously considering the patient's opinion</li> <li>☐ The patient and I shared responsibility for the final decision.</li> <li>☐ The patient made the final decision after seriously considering my opinion.</li> <li>☐ The patient made the final decision on the basis of the facts he learned from me and elsewhere, without considering my opinion.</li> <li>☐ I don't know (because the patient made the decision with another doctor)</li> <li>☐ The decision has not been made yet</li> </ul>   |           |                         |          |                           |            |                        |

Thank you for completing our questionnaire.



# Racial Differences in Prostate Cancer Treatment Outcomes: A Systematic Review

Race & Prostate Cancer Treatment Outcome

Nikki Peters, B.A., Katrina Armstrong, M.D., M.S.C.E.<sup>1-5</sup>

- (1) Department of Medicine, University of Pennsylvania School of Medicine
- (2) Abramson Cancer Center, University of Pennsylvania School of Medicine
- (3) Center for Clinical Epidemiology and Biostatistics, University of Pennsylvania School of Medicine
- (4) Leonard Davis Institute of Health Economics, University of Pennsylvania
- (5) Center for Health Equity Research and Promotion, Philadelphia VA Medical Center

Direct correspondence to: Nikki Peters

1134 Blockley Hall, 423 Guardian Drive

Philadelphia, PA 19104-6021

tel: (215) 5783-7907

fax: (215) 573-8779

email:npeters@mail.med.upenn.edu

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Condensed Abstract. The majority of studies in this review found no difference in prostate cancer treatment outcome between races. This suggests that differences in outcomes after treatment do not play a substantial role in racial disparities in prostate cancer survival.

### Abstract

**Background.** Prostate cancer mortality is twice as high in black men compared to white men. The existence of differences in cancer incidence and treatment between black and white men is widely accepted. However, the existence of racial differences in treatment outcomes remains controversial. We conducted a systematic review of racial disparities in prostate cancer treatment outcomes.

**Methods.** A literature search was conducted using the terms: "prostate cancer" (keyword) or "prostate neoplasm" (subject heading) + "blacks" (subject heading) or "blacks" (keyword).

Results. 258 eligible articles were identified; twenty-nine were selected. All but three studies were retrospective. Seven (24%) studies were conducted at Veteran Affairs medical centers. Treatment included: radical prostatectomy (12 studies), hormonal therapy (5 studies), radiotherapy (9 studies), and a combination of interventions (3 studies). The majority of studies (80%) observed no significant difference in treatment outcomes between races. The remainder found worse outcomes among black men, including worse five year survival (HR range 2.35-96.74) and higher rates of PSA failure (OR range 1.15-1.69).

Conclusions. The majority of studies investigating racial differences in prostate cancer treatment outcomes in the past ten years found no difference between races after controlling for tumor and patient characteristics. This suggests that differences in outcomes after treatment do not play a substantial role in racial disparities in prostate cancer survival. Efforts to narrow the gap between black and white prostate cancer mortality should focus on ensuring patients of all races receive optimal treatment and reducing incidence and extent of disease at presentation among black men.

Keywords: prostate neoplasm, treatment outcome, Blacks, review

#### Introduction

Prostate cancer is the most common non-dermatological cancer among men in the United States, and the second most common cause of cancer death. In 2002 189,000 men were diagnosed with prostate cancer and 30,200 died from the disease [1]. Black men are two and a half times more likely to die of prostate cancer than white men [2]. From 1995 to1999, U.S. age-adjusted death rates for prostate cancer were 72.8 per 100,000 for black men and 31.2 per 100,000 for white men [3].

The causes of the increased prostate cancer mortality among black men are only partly understood. Prostate cancer incidence is higher in black men. Surveillance, epidemiology, and end results (SEER) 1995-1999 incidence rates were 266.8 for black men and 163.2 for white men, per 100,000 [3]. This difference in incidence has been attributed to factors such as diet, genetic variability and socioeconomic status [4-8]. Black men are more likely to be diagnosed with an advanced stage disease and with higher PSA levels than white men [9-13]. Among patients diagnosed with localized prostate cancer, black men have been consistently found to be less likely to undergo radical prostatectomy and more likely to be managed by watchful waiting [14, 15]. Although many experts believe this difference in treatment contributes to the difference in survival, this link remains controversial because of the lack of definitive data about the effect of radical prostatectomy on prostate cancer survival.

In addition to differences in incidence, extent of disease at presentation and type of treatment, racial differences in outcomes after treatment may contribute to differences in mortality. However, the existence of racial disparities in prostate cancer treatment outcomes remains controversial [15-17]. Thus, we conducted a review of

literature on racial disparities in prostate cancer treatment outcomes over the past ten years.

## Methods

A systematic literature search was conducted on studies published in the past 10 years, 1992-2002, using Medline, CancerLit, and the Cumulative Index to Nursing and Allied Health Literature (CINAHL) databases. The following search was conducted: "prostate cancer" (keyword) or "prostate neoplasm" (subject heading) + "blacks" (subject heading) or "blacks" (keyword). The search resulted in 258 articles. Relevant articles were selected by reviewing titles and/or abstracts. Reference sections were reviewed to identify additional relevant articles. Selection criteria included: 1) published between 1992 and 2002; 2) published in English; and 3) specifically addressed prostate cancer treatment outcome results between black and white men.

A total of 29 articles were selected. Data extraction was completed independently by two investigators. Disagreements were resolved through discussion. Data elements included: Year of publication, research design, sample size and time frame of collection, disease stage, treatment, study location, measured outcomes, and factors that were adjusted.

## Results

A summary of the literature is presented in Table 1. All but three of the studies were retrospective. Twelve (41%) studies investigated radical prostatectomy alone outcomes, nine (31%) studies investigated radiotherapy alone, and five (17%) studies

investigated hormonal therapy alone. Three (10%) of the studies included multiple interventions. Six (21%) studies included metastatic prostate cancer patients in the sample population. Blacks made up at least 20% of the sample size in twenty (69%) of the studies. Seven (24%) of the studies were conducted at Veteran's Affairs medical centers. Thirteen studies (45%) measured PSA failure, five (17%) measured overall survival, three (10%) measured disease-free survival, and eight (28%) measured a combination of outcomes. Confounding factors that were controlled for differed among studies and many (48%) controlled for age of onset, stage and grade of the disease. Twenty-three (80%) of the studies reported numeric measures of the strength of association between race and outcome, while six studies reported only p-values.

Overall, 23 (80%) of the 29 studies reported no difference in treatment outcomes among white and blacks; six (20%) studies found that blacks had worse outcomes than whites. No study found that whites had worse outcomes than blacks. In studies that found worse outcomes among blacks, blacks had worse five-year survival with hazard ratios ranging from 2.35-96.74 and higher rates of PSA failure with odds ratios ranging from 1.15-1.69. Three additional studies identified racial differences in outcomes among subgroups. One study found a higher disease recurrence rate in younger black men (age 50-69), but no racial differences among men 70-79 years (Powell 1999). While another study reported worse outcomes, including higher recurrence rates, in black men with non-organ confined cancer, but not in black men with organ confined cancer [18]. A third study reported worse survival in black men with stage III prostate cancer, but not stages I or II [19].

Although the number of studies was too small to permit inferential statistics, studies that found a racial difference in treatment outcomes differed on several characteristics from the studies that did not find racial differences (Table 2). Thirty-three percent of the studies that found a racial difference (2/6) were published in 1996 or previously compared to 17% of studies that did not find a racial difference (4/23). Similarly, 33% of studies (2/6) finding a racial difference included patients with metastatic disease, whereas only 17% of studies (4/23) that did not find a racial difference included patients with metastatic disease. Only one study (17%) that found a racial difference in survival included patients who received primary radiation therapy compared to 11 studies (48%) that did not find a racial difference in outcome.

#### **Discussion**

Between 1995 and 1999 U.S. age-adjusted death rates for prostate cancer were 72.8 per 100,000 for black men and 31.2 per 100,000 for white men [3]. In terms of absolute and relative difference in mortality, prostate cancer presents the greatest disparity in mortality between blacks and whites of any cancer in the US. Understanding the causes of this disparity is an important next step in developing effective strategies to reduce prostate cancer mortality among black men. Although racial differences in the incidence of disease and treatment are widely recognized, the existence of racial differences in outcomes after treatment have been more controversial.

The results of this review suggest that racial disparities in prostate cancer mortality are not the result of treatment outcomes. Eighty percent of studies of the association between race and prostate cancer treatment outcomes published from 1992

to 2002 concluded that race is not an independent predictor of treatment outcome. This finding held for studies of overall survival, disease-specific survival and PSA relapse, as well as many different types of study sites, including Veterans Affairs Medical Centers. Only 20% of studies found an overall association between black race and worse treatment outcomes, although an additional 13% of studies found an association between race and outcome in subgroups of patients, including men with Stage III or locally advanced disease and younger men.

These findings have several implications for how best to reduce prostate cancer mortality among black men. We need a better understanding of the factors that drive the high incidence rates among black men. Ongoing research into genetic and lifestyle differences may elucidate part of this puzzle. Furthermore, it is not well understood why black men present with higher PSA levels, at a younger age, and more extensive disease than white men [10, 20, 21]. Although genetic and lifestyle variation may also contribute to these differences, the contribution of health care utilization, and particularly PSA screening, to differences in disease severity at presentation needs to be carefully studied [22]. If differences in PSA screening and health care access are linked to worse disease at presentation among black men, it becomes increasingly important to understand financial and non-financial factors that limit access to preventive health care among black men. Distrust of the health care system, few minority physicians, and fear of screening procedures and surgery all may factor into reducing access to health care among black men in the broadest sense [23, 24]. Although it is clear that black men are significantly less likely to undergo radical prostatectomy than are white men, the contribution of this difference to differences in mortality rates is more controversial [15].

Few data exist documenting improved survival for men who undergo prostatectomy compared to radiation therapy or even watchful waiting [25]. A clearer understanding of the effectiveness of different treatment options is needed before racial differences in treatment can be clearly linked to racial differences in survival.

Although the majority of studies found no difference in treatment outcome, it is interesting to speculate about the reasons why some studies found worse outcomes among black men. Based on descriptive analyses, the difference in results does not appear to relate to whether the study was conducted in a Veterans Affairs Medical Center or measured PSA failure, disease specific or overall survival – potential explanations for differences in study findings that have been proposed by some authors. There may be some association with time since publication with a lower proportion of studies finding a racial difference in outcome in the last 6 years than prior to 1997. Furthermore, it is interesting to note that only 17% of studies that found a racial difference in outcome included patients who underwent radiotherapy, whereas almost half of studies that found no difference in outcome included this treatment option. Although no definitive conclusions can be drawn from these observations, they suggest that racial differences in outcomes may have been more prevalent in the past and may be more likely to be seen following radical prostatectomy than radiation therapy. With the ongoing emphasis on quality of care, it is interesting to speculate that any greater effect of race on treatment outcomes after radical prostatectomy than after radiation therapy may relate to the greater effect of surgeon characteristics than radiation oncologist characteristics on treatment outcomes. Thus, if black men are more likely to use low quality providers than white men this effect would result in greater racial

disparities in outcomes among men undergoing prostatectomy than men undergoing radiation therapy [26].

This review has several limitations. Although we used multiple methods to identify relevant publications, we may have missed some. Furthermore, it is well recognized that negative studies are less likely to be published than studies with a positive result. However, this publication bias would only serve to strengthen our conclusion that the great majority of studies do not find an association between race and treatment outcome. Several studies did not provide information about elements of study design or results that we had hoped to collect. Furthermore, lack of uniformity of information about disease presentation made it difficult to accurately classify the disease stage of study subjects.

Although black men are twice as likely to die from prostate cancer as are white men in the US, this review suggests that this difference can not be attributed to worse outcomes after treatment. Efforts to address this important disparity should focus on reducing cancer incidence and extent of disease at presentation among African American men as well as improving our understanding of the effectiveness of alternative treatment.

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Table 1. Studies reporting prostate cancer treatment outcomes

| Is race<br>associated<br>with<br>outcomes? | No<br>(Yes in trial of<br>pelvic and<br>prostate vs.<br>pelvic,<br>prostate +<br>para-aortic<br>XRT)  | Yes   | 8<br>2   | No<br>(Yes in stage<br>III)  | Yes  |
|--|---|---|--|--|--|
| Findings/outcomes                          | After adjusting for pretreatment acid phosphatase, Gleason score, and nodal status, two trials suggest race not an independent predictor of survival, while one trial revealed shorter overall survival time in blacks (p= 0.02). | After adjusting for stage, grade, and age, blacks had a worse 5-year survival than whites (HR 96.74, CI 95% 2.12 - 4413). | Analysis did not adjust for disease characteristics because they were similar between racial groups. No significant difference between blacks and whites in overall survival (no HR reported). | When stratified by stage, blacks did not have worse survival for stage I or II, but did for stage III prostate cancer (no HR reported p= 0.006). | After adjusting for PSA, acid phosphatase, stage and grade, blacks had a higher rate of PSA failure (HR 1.69 p= 0.05). |
| <b>Outcomes</b> measured                   | Overall and<br>disease-<br>free survival  | Overall survival  | Overall survival   | Overall survival   | PSA failure  |
| Study location                             | Radiation<br>Therapy<br>Oncology<br>Group,<br>Philadelphia,<br>PA   | SEER<br>Connecticut<br>Tumor Registry   | Study location<br>not reported   | Study location<br>not reported   | Walter Reed<br>Army Medical<br>Center,<br>Washington,<br>D.C.  |
| Treatment                                  | Radiation<br>therapy<br>(XRT)   | XRT   | Hormonal<br>therapy  | XRT  | Radical<br>prosta-<br>tectomy (RP)   |
| Stage of disease                           | T1b-T4 *  | T2a-T2c,<br>T3-T4,<br>and<br>metastatic   | Metastatic   | T1b-T4*  | T1-T3c*  |
| Sample size                                | 120 black<br>and 1077<br>white men<br>from 1976-<br>1985  | 47 black and<br>867 white<br>men from<br>1973-1987  | 57 black and<br>62 white<br>men (no<br>dates<br>reported)  | 157 black<br>and 489<br>white men<br>from 1975-  | 107 black<br>and 366<br>white men<br>from 1975-<br>1995  |
| Research<br>design                         | Randomized<br>controlled<br>trials  | Retrospective   | Retrospective  | Retrospective  | Retrospective  |
| Year                                       | 1992  | 1993  | 1993   | 1995   | 1996   |
| Author                                     | Roach, M<br>et al.[27]  | Austin, JP<br>Convery, K<br>[28]  | Matzkin, H<br>et al.[29]   | Kim, JA<br>et al.[19]  | Moul, J<br>et al.[30]  |

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|---|--|---|---|---|---|
| '<br>Is race<br>associated<br>with<br>outcomes? | N  | ON N  | Š   | Š   | o<br>Z  |
| Findings/outcomes                               | After adjusting for stage, race<br>had no impact on survival in<br>men (no HR reported). | Analysis not adjusted for disease characteristics because they were similar between racial groups. No significant difference in time to disease progression (p=0.43), or overall survival (p=0.57) between blacks and non-blacks. | After adjusting for pretreatment<br>PSA, no difference between<br>black and whites in PSA failure<br>rate (no HR reported). | After adjusting for age, stage, and grade of disease, no significant difference in overall or disease specific survival between blacks and whites (no HR reported, p=0.66). | After adjusting for Gleason score, pathologic stage, preoperative PSA, % prostate involved in tumor and age, outcomes similar in both racial groups. (PSA failure RR 1.567, 95% CI 0.94-2.63; disease specific mortality RR 1.215, 95% CI 0.67-2.2) |
| Outcomes<br>measured                            | PSA failure,<br>overall,<br>disease<br>specific and<br>disease-<br>free survival         | Overall and disease-<br>free survival   | PSA failure   | Overall and disease specific survival   | PSA failure<br>and disease<br>specific<br>survival  |
| Study location                                  | Veterans<br>Affairs Center,<br>Jackson, MS   | Warren G.<br>Magnusson<br>Clinical Center,<br>Bethesda, MD  | University Mississippi Medical Center and Veterans Affairs Center, Jackson, MS  | Wayne State<br>University,<br>Detroit, MI   | Duke<br>University<br>Medical and<br>Veterans Affair<br>Center,<br>Durham, NC   |
| Treatment                                       | XRT or RP  | Hormonal<br>therapy   | Hormonal<br>therapy   | XRT   | <b>8</b>  |
| Stage of disease                                | T1b- T4*   | Metastatic  | T1b-T4,<br>including<br>metastatic  | T1-T3*  | T1a- T2*  |
| Sample size                                     | 148 black<br>and 209<br>white men<br>from 1980-<br>1991                                  | 4 black and<br>39 non-black<br>men (no<br>dates<br>reported)  | 217 black<br>and 188<br>white men<br>from 1987-<br>1995   | 571 black<br>and 425<br>white men<br>from 1980-<br>1993   | 115 black<br>and 1204<br>white men<br>from 1970-<br>1996  |
| Research<br>design                              | Retrospective  | Retrospective   | Retrospective   | Retrospective   | Retrospective   |
| Year  | 1996   | 1997  | 1997  | 1998  | 1998  |
| Author  | Fowler, J<br>Terrell, F<br>[31]  | Bergan, R<br>et al. [32]  | Fowler, J<br>et al. [33]  | Hart, K<br>et al. [34]  | Iselin, C<br>et al. [35]  |

| Is race<br>associated<br>with<br>outcomes? | <u>8</u>   | ON.   | ON<br>N   | No<br>(Yes for<br>younger<br>men)   | o<br>Z   |
|--|--|---|---|---|--|
| Findings/outcomes                          | After adjusting for pretreatment PSA, stage, Gleason score and hormonal therapy, no significant difference between blacks and whites (p=0.63). | No effect of race was observed (HR not reported).       | After adjusting for stage, PSA, Gleason score and type of treatment, blacks had comparable rates of PSA failure as whites. (OR 1.22, 95% CI 0.87-1.72). | No overall difference in PSA failure. However, younger blacks (50-59 and 60-69) had higher rate of PSA failure than younger whites (p = 0.03 and p = 0.0001). | After adjusting for pretreatment PSA, Gleason score, clinical stage, family history, type of treatment, and age, outcomes were not significantly different between blacks and whites. (PSA failure OR 1.10 white vs. black, 95% CI 0.85-1.43). |
| Outcomes<br>measured                       | PSA failure<br>and overall<br>survival   | Disease-<br>free survival                               | PSA failure   | PSA failure   | PSA failure,<br>overall and<br>disease-<br>free survival   |
| Study location                             | M.D. Anderson<br>Cancer Center,<br>Houston, TX   | Zeneca<br>Pharmaceutical<br>Wilmington, DE              | Wayne State<br>University,<br>Detroit, MI   | Wayne State<br>University,<br>Detroit, MI   | Cleveland<br>Clinic<br>Foundation,<br>Cleveland, OH  |
| Treatment                                  | XRT  | Hormonal<br>therapy                                     | RP or XRT   | g<br>G  | RP or XRT  |
| Stage of<br>disease                        | T1-T3*   | Metastatic  | T1a -T2c*   | Not<br>provided   | T1-T3*   |
| Sample size                                | 116 black<br>and 1,085<br>white men<br>from 1987-<br>1996  | 187 black<br>and 581<br>white men<br>from 1992-<br>1993 | 306 black<br>and 387<br>white men<br>from 1989-<br>1994   | 333 black<br>and 426<br>white men<br>from 1991-<br>1996   | 318 black<br>and 1901<br>white men<br>from 1986-<br>1998   |
| Research<br>design                         | Retrospective  | Randomized<br>controlled<br>trials                      | Retrospective   | Retrospective   | Retrospective  |
| Year                                       | 1998   | 1999  | 1999  | 1999  | 1999   |
| Author                                     | Zagars , G<br>et al. [36]  | McLeod , D<br>et al. [37]                               | Hart, K<br>et al. [38]  | Powell, I<br>et al. [20]  | Sohayda,<br>CJ<br>et al. [39]  |

| Is race<br>associated<br>with<br>outcomes? | O<br>Z   | No<br>(Yes for<br>locally<br>advanced)  | Yes   |
|--|--|---|---|
| Findings/outcomes                          | After adjusting for age, pretreatment serum PSA, clinical stage and Gleason score, race had no effect on PSA failure (p=0.65). | After adjusting for Gleason score and PSA, no difference in PSA failure between blacks and whites with organ-confined cancer (RR 0.58 p = 0.41). However, among patients with non-organ confined cancer, blacks had higher rate of PSA failure than whites (RR 1.77 p = 0.005). | Blacks with positive surgical margins had worse disease free survival after adjusting for Gleason score, PSA and characteristics of margins (No HR reported p= 0.04). |
| Outcomes<br>measured                       | PSA failure  | PSA failure   | PSA failure   |
| Study location                             | Louisiana State University Medical Center and Overton- Brooks Veterans Affairs Medical Center, Shreveport, LA                  | Wayne State<br>University<br>Detroit, MI  | Wayne State<br>University<br>Detroit, MI  |
| Treatment                                  | A<br>G   | A<br>G  | ЯР  |
| Stage of<br>disease                        | T1-T2*   | T1a-T2c*  | T1a-T2c*  |
| Sample size                                | 218 black<br>and 257<br>white men<br>from 1990-<br>1998  | 263 black<br>and 349<br>white men<br>from 1991-<br>1995   | 205 black<br>and 288<br>white men<br>from 1991-<br>1995   |
| Research<br>design                         | Retrospective  | Retrospective   | Retrospective   |
| Year                                       | 2000   | 2000  | 2000  |
| Author                                     | Eastham, J<br>Kattan, M<br>[40]  | Powell, I<br>et al. [18]  | Shekarriz,<br>B<br>et al. [41]  |

| Is race associated with outcomes? | Yes   | o<br>Z   | o<br>Z   |
|-----------------------------------|---|--|--|
| Findings/outcomes                 | After adjustment for preoperative PSA, age of onset, stage and grade of disease, and military rank, black men with localized disease more likely to have PSA failure (p= 0.04). | After adjusting for PSA levels, stage, Gleason score, radiation treatment and hormonal treatment, race not associated with PSA failure (no HR reported). | After adjusting for serum PSA, age, Gleason score, surgical Gleason score, and positive lymph nodes, no differences observed in PSA failure between blacks and whites (HR 0.71, 95% CI 0.42-1.20). |
| Outcomes measured                 | PSA failure   | PSA failure  | PSA failure  |
| Study location                    | Naval Medical Center, San Diego, CA Walter Reed Army Medical Center, Washington, D.C. Madigan Army Medical Center, Tacoma, WA Brooke Army Medical Center, San Antonio, TX       | Martinez<br>Veterans<br>Administration<br>and University<br>of California,<br>San Francisco,<br>CA   | University of<br>California<br>Veterans<br>Affairs Medical<br>Center, Los<br>Angeles, CA   |
| Treatment                         | g<br>G  | XRT  | A<br>G   |
| Stage of disease                  | T1-T2c*   | T1-T3*   | T1-T3*   |
| Sample size                       | 192 black<br>and 818<br>white men<br>from 1987-<br>1997   | 119 black<br>and 407<br>white men<br>from 1987-<br>1995  | 125 black<br>and 148<br>white men<br>from 1991-<br>1999  |
| Research<br>design                | Retrospective   | Retrospective  | Retrospective  |
| Year                              | 2000  | 2000   | 2000   |
| Author                            | Tarman, G<br>et al. [11]  | Young, CD<br>et al. [42]   | Freedland,<br>S<br>et al. [43]   |

| Is race<br>associated<br>with<br>outcomes? | O<br>N  | ≺es   | <b>0</b>  | 9  |
|--|---|---|---|--|
| Findings/outcomes                          | After adjusting for age, stage, Gleason score, pretreatment PSA levels, use of androgen deprivation, year of therapy, radiation dose and radiation technique, race was not an independent factor predicting overall survival. (p=0.80). | After adjusting for extent of disease, performance status, bone pain, treatment arm, PSA levels, age, and Gleason score, blacks had a worse outcome prognosis than whites (HR 1.23 95% CI 1.04-1.47). | After adjusting for PSA, PSA nadir, tumor stage, interval to nadir, Gleason score and age 5-year overall survival, diseasefree survival, and PSA failure rates were not significantly different between blacks and whites (HR 1.2, 95% CI 0.8-1.7). | After adjusting for pretreatment PSA, Gleason score, stage and age no statistical difference in PSA failure after RP (p = 0.70 for low-risk group and p = 0.28 for high risk group). |
| <b>Outcomes</b> measured                   | Overall survival  | Overall survival  | PSA failure,<br>overall and<br>disease-<br>free<br>survival.  | PSA failure  |
| Study location                             | Cleveland<br>Clinic<br>Foundation,<br>Cleveland, OH   | Southwest<br>Oncology<br>Group,<br>Seattle, WA  | University of<br>Chicago,<br>Chicago, IL  | Brigham and<br>Women's<br>Hospital,<br>Boston, MA<br>Hospital of the<br>University of<br>Pennsylvania,<br>Philadelphia,  |
| Treatment                                  | XRT   | Hormonal<br>therapy   | XRT   | G<br>G   |
| Stage of<br>disease                        | 11-13*  | metastatic  | *41-14  | T1c -T2c*  |
| Sample size                                | 219 black<br>and 717<br>white men<br>from 1986-<br>1998   | 288 black<br>and 975<br>white men<br>(no dates<br>reported)   | 418 black<br>and 475<br>white men<br>from 1988-<br>1997.  | 162 black<br>and 1874<br>white men<br>from 1989-<br>2000   |
| Research<br>design                         | retrospective   | randomized<br>controlled<br>trials  | retrospective   | retrospective  |
| Year                                       | 2001  | 2001  | 2001  | 2002   |
| Author                                     | Kupelian, P<br>et al. [44]  | Thompson,<br>l<br>et al. [45]   | Connell, P<br>et al. [46]   | Cross, C<br>et al. [47]  |

| Is race<br>associated<br>with<br>outcomes? | Yes   | o<br>Z   | o<br>Z  | <u>8</u>  |
|--|---|--|---|---|
| Findings/outcomes                          | After adjusting for age, preoperative PSA, Gleason score, and pathologic stage, race had a significant effect on disease-free survival (HR 2.35, 95% CI 1.65-3.33). | Analysis not adjusted for disease characteristics because they were similar between racial groups. Blacks and whites had similar rates of PSA failure (p = 0.73) | After adjusting for PSA, Gleason score, stage, hormones and isotope used, race was not an independent predictor of 5-year disease-free survival between blacks and whites. (HR 0.68, 95% CI 0.45-1.21). | After adjusting for age at surgery, Gleason score, PSA, stage, education and income blacks had greater PSA failure. |
| Outcomes<br>measured                       | disease-<br>free survival   | PSA failure  | disease-<br>free survival   | PSA failure   |
| Study location                             | Detroit Medical<br>Center, Detroit,<br>MI   | Wayne State<br>University,<br>Detroit, MI  | Memorial<br>Sloan-Kettering<br>Cancer Center,<br>NY   | University of<br>California, San<br>Francisco, CA   |
| Treatment                                  | G.  | ЯР   | XRT   | G.  |
| Stage of disease                           | T1a – T3*   | T1c -T2b*  | T1b - T2b*  | T1-T3*  |
| Sample size                                | 229 black<br>and 562<br>white men<br>from 1990-<br>1999   | 421 black<br>and 616<br>white men<br>from 1991-<br>1996  | 246 black<br>and 835<br>white men<br>from 1992-<br>1999   | 133 black<br>and 1270<br>white men<br>from 1986-<br>2001  |
| Research<br>design                         | retrospective   | retrospective  | retrospective   | retrospective   |
| Year                                       | 2002  | 2002   | 2002  | 2002  |
| Author                                     | Powell, I<br>et al. [48]  | Banerjee,<br>M<br>et al. [49]  | Lee, L<br>et al. [50]   | Grossfeld,<br>G<br>et al. [51]  |